

FORM PTO 1390  
(REV 5-93)

US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NUMBER  
2005\_2002ATRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. §371

U.S. APPLICATION NO.

NEW 562016

International Application No.  
PCT/JP2004/011209International Filing Date  
July 29, 2004Priority Date Claimed  
August 4, 2003**Title of Invention**PROGRAM RESERVATION-AND-RECORDING APPARATUS, INFORMATION-PROVIDING SERVER, PROGRAM  
RESERVATION-INFORMATION MANAGEMENT SERVER, PROGRAM RECEPTION APPARATUS, AND  
PROGRAM RESERVATION-AND-RECORDING SYSTEMApplicant(s) For DO/EO/US  
Masaru YAMAOKA et al.


Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. §371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. §371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. §371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. §371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. §371(c)(2))
  - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau). **ATTACHMENT A**
  - b. ☐ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☒ A translation of the International Application into English (35 U.S.C. §371(c)(2)). **ATTACHMENT B**
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3)).
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19.
9. ☒ An **unexecuted** oath or declaration of the inventor(s) (35 U.S.C. §371(c)(4)) along with Cover Letter for Application Filed Without Executed Declaration. **ATTACHMENT C**
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. §371(c)(5)).

**Items 11. to 14. below concern other document(s) or information included:**

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98. **ATTACHMENT D**
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment. **ATTACHMENT E**  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☒ Other items or information:
  - a. Submission of Replacement Drawings. **ATTACHMENT F**
  - b. Form PCT/IB/304. **ATTACHMENT G**

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEES FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975

U.S. APPLICATION NO. <b>10/562016</b> NEW		INTERNATIONAL APPLICATION NO. PCT/JP2004/011209		ATTORNEY'S DOCKET NO. 2005_2002A							
15. [X] The following fees are submitted  Basic National Stage Fee ..... \$300.00 National Stage Search Fee ..... \$400.00 National Stage Examination Fee ..... \$200.00 Specification/drawings in excess of 100 pages @ units of 50 x \$250.00 = ..... \$750.00  <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <th style="width:50%;">CALCULATIONS</th> <th style="width:50%;">PTO USE ONLY</th> </tr> <tr> <td colspan="2" style="height: 40px;"></td> </tr> <tr> <td>\$1650.00</td> <td></td> </tr> </table>		CALCULATIONS	PTO USE ONLY			\$1650.00	
CALCULATIONS	PTO USE ONLY										
\$1650.00											
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$							
Claims	Number Filed	Number Extra	Rate								
Total Claims	16 -20 =	0	X \$50.00	\$							
Independent Claims	3 - 3 =	0	X \$200.00	\$							
Multiple dependent claim(s) (if applicable)			+ \$360.00	\$							
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$1650.00							
<input type="checkbox"/> Small Entity Status is hereby asserted. Above fees are reduced by 1/2.				\$							
<b>SUBTOTAL =</b>				\$1650.00							
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+ \$							
<b>TOTAL NATIONAL FEE =</b>				\$1650.00							
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +				\$							
<b>TOTAL FEES ENCLOSED =</b>				\$1650.00							
				Amount to be refunded	\$						
				Amount to be charged	\$						
a. [X] A check in the amount of \$ <u>1650.00</u> to cover the above fees is enclosed. A duplicate copy of this form is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. 23-0975 in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>23-0975</u> .											
<b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b>											
19. CORRESPONDENCE ADDRESS   <div style="text-align: center; margin-top: 20px;">           CUSTOMER NO.  <b>000513</b> </div>			By:  Jeffrey R. Filipek, Registration No. 41,471  WENDEROTH, LIND & PONACK, L.L.P. 2033 "K" Street, N.W., Suite 800 Washington, D.C. 20006-1021 Phone: (202) 721-8200 Fax: (202) 721-8250  <div style="text-align: right;">December 23, 2005</div>								

10/562016

IAP20 Rec'd PCT/PTO 23 DEC 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :  
Masaru YAMAOKA et al. : **Mail Stop: PCT**  
Serial No. NEW : Attorney Docket No. 2005\_2002A  
Filed December 23, 2005 :  
PROGRAM RESERVATION-AND- :  
RECORDING APPARATUS, :  
INFORMATION-PROVIDING SERVER, :  
PROGRAM RESERVATION- :  
INFORMATION MANAGEMENT SERVER, :  
PROGRAM RECEPTION APPARATUS, :  
AND PROGRAM RESERVATION-AND- :  
RECORDING SYSTEM :  
[Corresponding to PCT/JP2004/011209  
Filed July 29, 2004]

THE COMMISSIONER IS AUTHORIZED  
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FEES FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975

**COVER LETTER FOR APPLICATION FILED**  
**WITHOUT EXECUTED DECLARATION**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The above-identified application has been submitted without an executed oath or declaration pursuant to 37 CFR 1.41(c).

It is respectfully requested that this application be assigned a serial number and awarded a filing date pursuant to 37 CFR 1.53.

A duly executed oath or declaration pursuant to 37 CFR 1.63 will be submitted after notification by the U.S. Patent and Trademark Office pursuant to 37 CFR 1.52(d).

A non-executed copy of the Declaration and Power of Attorney, containing the inventorship information, is attached. It is respectfully requested that all communications be directed to the firm indicated on the attached Declaration and Power of Attorney, namely:

WENDEROTH, LIND & PONACK, L.L.P.  
2033 K Street, N.W., Suite 800  
Washington, D.C. 20006-1021

ATTACHMENT C

10/562016

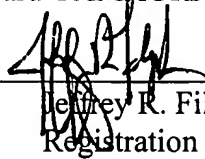
IAP20 Rec'd PCT/PTO 23 DEC 2005

The required U.S. Patent and Trademark Office Filing Fee is submitted herewith.

Respectfully submitted,

Masaru YAMAOKA et al.

By



Jeffrey R. Filipek

Registration No. 41,471

Attorney for Applicants

JRF/fs

Washington, D.C. 20006-1021

Telephone (202) 721-8200

Facsimile (202) 721-8250

December 23, 2005

10/562016

IAP20 Rec'd 1/10/10 23 DEC 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :  
Masaru YAMAOKA et al. : **Mail Stop: PCT**  
Serial No. NEW : Attorney Docket No. 2005\_2002A  
Filed December 23, 2005 :  
PROGRAM RESERVATION-AND- :  
RECORDING APPARATUS, :  
INFORMATION-PROVIDING SERVER, :  
PROGRAM RESERVATION- :  
INFORMATION MANAGEMENT SERVER, :  
PROGRAM RECEPTION APPARATUS, :  
AND PROGRAM RESERVATION-AND- :  
RECORDING SYSTEM :  
[Corresponding to PCT/JP2004/011209  
Filed July 29, 2004]

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ACCOUNT NO. 23-0975

**SUBMISSION OF REPLACEMENT DRAWINGS**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

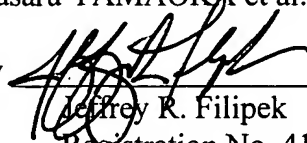
Sir:

Submitted herewith are 2 sheets of formal (A4) drawings (Figs. 12 and 15). As described in the Preliminary Amendment filed concurrently herewith, in Fig. 12, reference numeral of block "PROGRAM-LIST MEMORY" has been changed from "67" to --68--; and in Fig. 15, the phrase "NO" has been added to an output of block S32.

Respectfully submitted,

Masaru YAMAOKA et al.

By



Jeffrey R. Filipek  
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Telephone (202) 721-8200  
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December 23, 2005

ATTACHMENT F